

# Better regulation

Water, energy, transport and  
communications



**One-week study programme**  
25 to 29 June 2012



PAI is accredited by the  
British Accreditation Council  
for Independent Further and Higher Education

### About the programme

This study programme will cover both the theory and practice of the UK's experience of regulatory innovation in a global, free-market economy, by:

- Providing an overview of economic regulation, with an emphasis on lessons learnt, best practice and the developing better regulation agenda. Ensuring that all participants are given a good understanding of regulation and its terminology
- Critically examining governments' intentions for, and reforms to, regulatory regimes aimed at delivering both enhanced competition and better regulation while protecting people and their environment
- Exploring with tutors and practitioners current UK views and experience in regulating liberalised industries and services, while meeting both supra-national and national standards of governance and accountability. The programme concentrates on network industries in the energy, communications, transport, water supply and sewerage sectors
- Visiting key regulators and regulated industries and engaging with practitioners and key UK experts.

### Who is it for?

The programme is aimed at policy advisers and senior decision-makers in governments changing the ways they regulate private or parastatal suppliers of utility and network services.

### How participants will benefit

The study programme will:

- Provide a broad overview of regulatory options and best practice. Examine the better regulation agenda, risk-based approaches and policy and regulatory options bearing in mind the need for sustainable development. Develop a critical and exploratory dialogue on key options
- Broaden participants' knowledge of the UK and European Union framework within which the regulated industries and services operate in the UK. Provide an up-to-date view of the current models of ownership and regulation in the UK and elsewhere and the variety of options available, ranging from full privatisation to retained public ownership, while delivering strong economic and environmental regulation
- Enable participants to examine the UK experience of harnessing the private sector's initiative, management and investment to improve the quality and efficiency of service provision. At the same time, safeguarding customers' interests and rights, funding sustainable development and environmental improvements and promoting the government's social and environmental objectives
- Introduce participants to key practitioners in regulatory offices, regulated industries, government departments and consumer protection organisations.

*"I found the programme very informative and useful, particularly as we are in the process of privatising our water and sewerage functions."*

Mr Tom Van Zanten, Deputy Director  
Water Authority, Cayman Islands

### **What the programme will cover**

The programme will cover both principles and practices of regulation, especially of UK utility and network services in the energy, communications, transport, water supply and sewerage industries. It will include briefing sessions, discussions and visits.

### **The programme will comprise two main components:**

– Participants will be briefed about regulatory regimes, setting UK examples in their context of supranational trading obligations and national public administration. Special emphasis will be placed on the changes which the UK has made in order to free competitive markets from unnecessary or inefficient regulation

– Participants will meet practitioners in government, regulatory bodies and industry. Participants will be able to discuss the rationale for, and effects of, the UK's thirty-year voyage from state ownership to effective regulation.

Participants are invited to give brief informal presentations on their own country's regulatory system and its current issues of particular interest or significance.

## Programme outline

	AM	PM
Day 1	<p><b>Welcome and introductions</b></p> <ul style="list-style-type: none"> <li>– Programme objectives</li> </ul> <p><b>The regulatory state in context</b></p> <ul style="list-style-type: none"> <li>– Overview of regulatory options, regulators and competitive markets</li> <li>– Why regulate? Failures in markets and conduct</li> <li>– Principles of good regulation; avoiding regulatory failure</li> </ul>	<ul style="list-style-type: none"> <li>– Who regulates? Government Ministers, independent regulators and market structures</li> <li>– <i>Visit Better Regulation Executive, Department for Business, Innovation and Skills (BIS)</i></li> </ul>
Day 2	<p><b>Practical economic regulation</b></p> <ul style="list-style-type: none"> <li>– Privatisation, industry restructuring and competition policy</li> </ul>	<ul style="list-style-type: none"> <li>– Incentive regulation: the economic and financial framework for price controls</li> <li>– Participants' roundtable 1: international perspectives</li> </ul>
Day 3	<p><b>Making regulation work</b></p> <ul style="list-style-type: none"> <li>– UK regulation and competition: view from a regulated utility company</li> <li>– Environmental regulation and sustainability</li> </ul>	<ul style="list-style-type: none"> <li>– <i>Visit an economic regulator</i></li> <li>– <i>Visit an economic and safety regulator</i></li> </ul>
Day 4	<p><b>Empowered consumers</b></p> <ul style="list-style-type: none"> <li>– Empowering consumers: rights, consultation, independent representation and redress</li> </ul>	<ul style="list-style-type: none"> <li>– <i>Visit to Consumer Focus</i></li> <li>– Participants' roundtable 2: the relevance of UK experience</li> </ul>
Day 5	<p><b>Accountability and governance</b></p> <ul style="list-style-type: none"> <li>– Regulatory governance and accountability: due process, scrutiny and appeals</li> <li>– National Audit Office</li> </ul>	<ul style="list-style-type: none"> <li>– Key lessons for better regulation</li> <li>– Review and evaluation of the programme</li> <li>– Presentation of certificates of attendance</li> </ul>

We reserve the right to change the programme as necessary.

**Application form**

**Better regulation  
Water, energy, transport and communications**

**25 to 29 June 2012**

Please complete this application form and post, fax or email to:

Clare Walters, Programme Manager  
Public Administration International  
10 Bayley Street, London WC1B 3HB UK  
T +44 (0)20 7580 3590 F +44 (0)20 7580 4746 pai@public-admin.co.uk

Applications can also be completed online. Please see our website for details.  
[www.public-admin.co.uk](http://www.public-admin.co.uk)

**Please print clearly in black ink and in capital letters**

**Personal details**

First name \_\_\_\_\_

Family name \_\_\_\_\_

Title (Prof. Dr. Mr. Mrs. Ms. etc.) \_\_\_\_\_

Nationality \_\_\_\_\_

Dietary requirements \_\_\_\_\_

Disabilities \_\_\_\_\_

Have you participated in a PAI study programme before? \_\_\_\_\_

If yes, which programme(s)? \_\_\_\_\_

Dates of programme(s)? \_\_\_\_\_

**Current position**

Title of post \_\_\_\_\_

Employer \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Telephone \_\_\_\_\_

Fax \_\_\_\_\_

Email \_\_\_\_\_

Brief description of your main role and responsibilities \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Education and professional training**

Please provide brief details of your education and professional qualifications and training

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Application form**

School/college/university \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Relevant professional qualifications or membership of professional bodies \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Particular interests**

Please indicate which aspects of the programme are of most interest to you \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Sponsoring organisation**

Who will pay your fees? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Who will pay your other costs such as travel and living costs? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

How would you rate your English language capability?

	Spoken	Written
Fluent		
Good working knowledge		
Fair		
Basic		

Do you need a visa to enter the UK? Yes  No   
Not sure whether I need one

If you are unsure whether you need a visa please check the UK Border Agency Website: [www.ukvisas.gov.uk](http://www.ukvisas.gov.uk)

How did you find out about the programme?

Brochure (by mail)  Brochure (by email)   
PAI website  From colleague/friend

Other \_\_\_\_\_

**Value Added Tax (VAT)**

I certify that I am employed by the Government of \_\_\_\_\_  
in furtherance of its sovereign activities (please refer to note on VAT under 'Fees and other costs')

Signature \_\_\_\_\_  
Date \_\_\_\_\_

We reserve the right to cancel the programme if there are not enough participants to make it viable. In these circumstances, fees already received will be refunded in full.

### **Language**

The programme will be conducted in English. Participants will be expected to have a good working knowledge of the language.

### **Location and arrival arrangements**

The study programme will be based in central London. Visits to people who have direct experience of UK regulation in government, regulatory, business and other organisations will be included. On request, PAI can assist with finding suitable hotel accommodation and can arrange travel to and from the airport.

### **Fees and other costs**

The fee for the one-week study programme will be £1,855. This includes tuition, travel to and from the airport in London, travel on scheduled visits<sup>1</sup> and documentation. UK Value Added Tax (VAT) will not be charged if applicants can provide written confirmation from their Government or its accredited representative that they are employed by the Government in furtherance of its sovereign activities. In all other cases, VAT (currently 20%) will be charged in addition to the fee. Payment in full must be received by PAI no later than 25 June 2012. Cheques should be made payable to Public Administration International. Alternatively, PAI is able to invoice individuals or government agencies. Payment by bank transfer is also acceptable.

Airfares, accommodation costs, daily travel (other than on visits scheduled in the programme), meals and living expenses are not included. We suggest that approximately £120 per person per day should be allowed for a modest standard of accommodation, local travel in the UK, meals and other incidental expenses. Participants requiring a higher standard of accommodation (for example a 4 star hotel) will need to allow approximately £175 per person per day.

### **Discount**

We offer a 10% discount on the tuition fee if a funding organisation sponsors more than three participants in any one calendar year. Please ensure that when you book your fourth participant (in any one calendar year) you let us know so that we can apply the discount.

### **Insurance**

Participants are advised to arrange travel and health insurance cover before leaving their home country.

### **Public Administration International (PAI)**

PAI specialises in management consultancy and development services for organisations in and associated with the public sector worldwide. We provide advice and support for governments undergoing political, economic, structural and legislative change. Our extensive network of associates includes practitioners, academics and independent consultants. The promotion of good governance and best practice are our key objectives. This programme is one of a number of specialist UK-based study programmes designed to meet the needs of public services worldwide.

### **Programme Director**

The Programme Director will be Martin Stanley. Martin is an experienced senior civil servant and regulator. He has recently been the Chief Executive of the UK's Competition Commission and, prior to that, Chief Executive of the Postal Services Commission, and Director of the UK's Regulatory Impact Unit (now the Better Regulation Executive). He is also the author of a website focusing on regulatory and competition policies: [www.regulation.org.uk](http://www.regulation.org.uk)

<sup>1</sup>Travel to and from the airport in London and travel on scheduled visits in the programme are provided at cost.

Other key contributors to the programme will include:

- Dr Neil Jackson, whose work in government included setting up the Office of the Director General of Water Services (OFWAT), where he also had particular responsibility for policy on consumer affairs and for administration. He has been an independent consultant in public administration since 1997 and is a PAI Associate Consultant
- Peter Vass, formerly Director, Centre for the study of Regulated Industries (CRI), University of Bath School of

Management from 1990 to 2010. The history of CRI and its publications can be found at [www.bath.ac.uk/cri](http://www.bath.ac.uk/cri)  
Peter Vass has researched and published widely on regulation in the UK and internationally and has participated in many seminars, conferences and workshops

- Dr Martin Griffiths, previously Head of Water Policy for Environment Agency and Deputy Director Regulation of the Department for Environment, Food and Rural Affairs (DEFRA) and now an independent consultant.

### How to apply

Please complete the attached Public Administration International application form and post, fax or email it to:

Clare Walters  
Programme Manager  
Public Administration International  
10 Bayley Street  
London WC1B 3HB  
UK  
**T** +44 (0)20 7580 3590  
**F** +44 (0)20 7580 4746  
[pai@public-admin.co.uk](mailto:pai@public-admin.co.uk)

Applications can also be completed online. Please see our website for details: [www.public-admin.co.uk](http://www.public-admin.co.uk)

If you would like to discuss the programme or to find out more about Public Administration International and our services, please contact:

Claire Cameron  
Director  
Public Administration International  
**T** +44 (0)20 7580 3590  
[pai@public-admin.co.uk](mailto:pai@public-admin.co.uk)

We also have extensive experience of designing tailor-made programmes (from one day to two or three weeks) which can be run in your own country or in the UK to meet the specific needs of groups or individuals at all levels within your organisation. Please contact us if you would like further information.

Public Administration  
International

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[www.public-admin.co.uk](http://www.public-admin.co.uk)